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TRANSMITTAL **FORM**

(to be used for all correspondence after initial filing)

October 16, 2000 Filing Date Thomas C. Naratil **First Named Inventor** Group Art Unit 2161 JAN I 4 2002 Mary Benton **Examiner Name**

Technology Center 2:00

Total Number of Pages in This Submission 11 Attorney Docket Number 4/97-00								
ENCLOSURES (check all that apply)								
Fee Transmittal Form		Terminal Disclaimer Request for Refund To Group To Group Appeal Cor of Appeals Appeal Cor of Appeals Appeal Cor of Appeals Appeal Cor (Appeal Notice) Petition Proprietary Proprietary Proprietary Status Lett ✓ Other Enclidentify belocation of Correspondence Other Enclidentify belocation of ow C.F.R. §2.20; Cet from Delaware Set Correspondence Proprietary Other Enclidentify belocation of ow C.F.R. §2.20; Cet from Delaware Set Correspondence C.F.R. §2.20; Cet from Delaware Set C.F.R. §2.20			After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
Fee Attached								
Amendment / Reply								
After Final					Proprietary	Proprietary Information		
Affidavits/declaration(s)					losure(s) <i>(please</i> low):			
Extension of Time Request								
Express Abandonment Request					Declaration of own C.F.R. §2.20; Cert from Delaware Sec	vnership pursuant to 37 ertificate of amendment secretary of State;		
Information Disclosure Statement					Incumbency certific change attorney do			
Certified Copy of Priority Document(s)		Remarks			receipt postcard.			
Response to Missing Parts/ Incomplete Application						· · ·		
Response to Missing Parts under 37 CFR 1.52 or 1.53								
under 57 GTK 1.52 GT 1.55							rist.	
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT								
Firm Leslie Gladstone Restaino, Regional name				g. No. 38,893				
Signature		Aslie S Rostemo				¢ ¢		
Date	10/17/2001							
CERTIFICATE OF MAILING								

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: October 17, 2001

Typed or printed name

Joyce Sapjeta

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In re Application of:

Thomas C. Naratil

Group Art Unit:

2161

Serial No.:

09/688,392

Examiner:

Mary Benton

Filed:

October 16, 2000

Docket No.

4797-60

For:

SYSTEM AND METHOD FOR TRADING TAXABLE AND

NON-TAXABLE SECURITIES

55 Madison Avenue Morristown, NJ 07960 September 28, 2001

RECEIVED

Assistant Commissioner for Patents Washington, D.C. 20231

JAN 1 4 2002

Technology Center 21.00

POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST

Sir:

UBS PaineWebber Inc., a Delaware corporation, as assignee of record of the entire interest of the above patent application, U.S. Serial No. 09/688,392, hereby revokes all power of attorney previously given.

The following attorneys are hereby appointed with full power of substitution and revocation to prosecute and transact all business in the Patent and Trademark Office connected therewith: Leslie Gladstone Restaino, Reg. No. 38,893, Seth H. Ostrow, Reg. No. 37,410; Frank J. DeRosa, Reg. No. 26,543 and Ralph F. Hoppin, Reg. No. 38,494 of the firm Brown Raysman Millstein Felder & Steiner, LLP, 55 Madison Avenue, Suite 400, Morristown, New Jersey 07960, (973) 285-3222.

Direct all correspondence to:

Leslie Gladstone Restaino, Esq. Brown Raysman Millstein Felder & Steiner LLP 55 Madison Avenue Morristown, NJ 07960

Direct all telephone calls to (973) 285-3242.

The assignment of U.S. Serial No. 09/688,392 to PaineWebber Incorporated was recorded in the U.S. Patent and Trademark Office on October 16, 2000 at Reel 011255, Frame 0548.

Attached to this power of attorney is a Statement under 37 C.F.R. §3.73(b).

Associate General Counsel UBS PaineWebber Inc.